



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

2171
#7
BT

3-12-03

Applicant(s): Schroiff et al.

Serial No.: 09/883,113

For: CASCADING FAILOVER OF A DATA MANAGEMENT APPLICATION FOR
SHARED DISK FILE SYSTEMS IN LOOSELY COUPLED NODE CLUSTERS

Filed: June 15, 2001

Examiner: Not yet assigned

Art Unit: 2171

Confirmation No.: 7971

Attorney Docket No.: DE9200000061US1

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RECEIVED

Dear Sir:

MAR 10 2003

Transmitted herewith is:

Technology Center 2100

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449 with copies of patents;
3. Copy of Australian Search Report dated 26 November 2002;
3. Transmittal letter in duplicate; and
4. Postcards.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 04-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle, LLP. A duplicate copy of this sheet is attached.

Respectfully submitted,

March 3, 2003

Date

Paul D. Greeley, Esq.

Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON MARCH 3, 2003.

Kenroy A. Browne

NAME

SIGNATURE

03/03/03

DATE



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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed on June 15, 2001. This Information Disclosure Statement is being filed:

___ Within three (3) months of the filing date of the national application;

___ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

XXX Before the mailing date of a first Office Action on the merits;

___ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

___ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

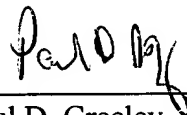
- _____ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and
- _____ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(I)(1).

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

March 3, 2003

Date



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